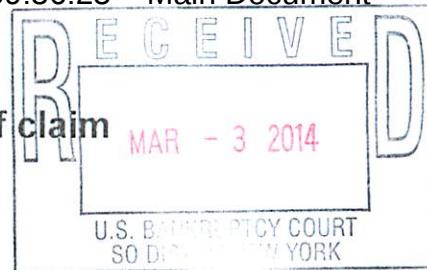


Agreement and evidence of transfer of claim Lehman Program Security



TO: THE DEBTOR AND THE BANKRUPTCY COURT

1. For value received, the adequacy and sufficiency of which are hereby acknowledged, TARGOBANK AG & Co. KGaA f/k/a Citibank Privatkunden AG & Co. KGaA ("Transferor") hereby unconditionally and irrevocably transfers and assigns to

MR. WINFRIED BACH

name of customer

(the "Transferee"), as of the date hereof, an undivided interest, to the extent of \$ 8,520.60, which is equal to 0,001979422 % and as specified in Schedule 1 attached hereto (the "Transferred Claim"), in Transferor's right, title and interest in and to, or arising under or in connection with Proof of Claim Number 55404 filed by or on behalf of

MR. WINFRIED BACH

name of customer

(the "Proof of Claim") against Lehman Brothers Holdings, Inc., debtor in proceedings for reorganization (the "Proceedings") in the United States Bankruptcy Court for the Southern District of New York (the "Court"), administered under Case No. 08-13555 (JMP) (the "Debtor"), but only to the extent related to the Transferred Claim. For the avoidance of doubt, the Transferred Claim will not encompass Transferor's rights, title or interests in and to and arising in relation to the Proof of Claim or the security or securities except to the extent of \$ 8,520.60, which is equal to 0,001979422 % and as specified in Schedule 1 attached hereto.

2. Transferor hereby waives any objection to the transfer of the Transferred Claim to Transferee on the books and records of the Debtor and the Court, and hereby waives to the fullest extent permitted by law any notice or right to receive notice of a hearing pursuant to Rule 3001(e) of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law, and consents to the substitution of Transferor by Transferee for all purposes in the case, including, without limitation, for voting and distribution purposes with respect to the Transferred Claim. Transferor acknowledges and understands, and hereby stipulates, that an order of the Court may be entered without further notice to Transferor transferring to Transferee the Transferred Claims, recognizing Transferee as the sole owner and holder of the Transferred Claim, and directing that all payments or distributions of money or property in respect of the Transferred Claim be delivered or made to Transferee. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the Transferred Claim, and all payments or distributions of money or property in respect of the Transferred Claim, shall be delivered or made to the Transferee.

3. Transferor's and Transferee's rights and obligations hereunder shall be governed by and interpreted and determined in accordance with German law, excluding any conflict of laws provisions (Kollisionsrecht). Particular allowance shall be made for the fact that this Agreement is to be used to comply with certain requirements relevant under US Federal Law or the laws of the State of New York.

IN WITNESS WHEREOF, this AGREEMENT AND EVIDENCE OF TRANSFER OF CLAIM is executed this 06th day of January 2014.

TARGOBANK AG & Co. KGaA f/k/a
Citibank Privatkunden AG & Co. KGaA on behalf of

MR. WINFRIED BACH

name of customer

By

Name Mr. Oliver Stuempges

Mr. Theo Peters

Title Area Director

Area Manager

Kasernenstr. 10

address

40213 Duesseldorf, Germany

city, state, zip

MR. WINFRIED BACH

name of customer

By X

Name MR. WINFRIED BACH

Title

P.O. BOX 185 PATTAYA POST OFFICE

address

20260 CHONBURI, THAILAND

city, state, zip

Anlage 2: Dokument „Evidence of Transfer of Claim – Version B“
Anhang: „Wichtige Informationen“
Anlage 1: Dokument „Form 210A“

16.1.2014 * 1380
Unterschrift (TARGOBANK Depositinhaber)

Den nachfolgenden Anhang „Wichtige Informationen“, insbesondere auch den dort beschriebenen Weise mitzuwirken und die für den LBH-Insolvenztablette, habe ich zur Kenntnis genommen. Ich bin bereit, in der dort beschriebenen Weise mitzuwirken und die für den Depositibetrag erforderlichen Erklärungen abzugeben.

Depotnummer 1000151000

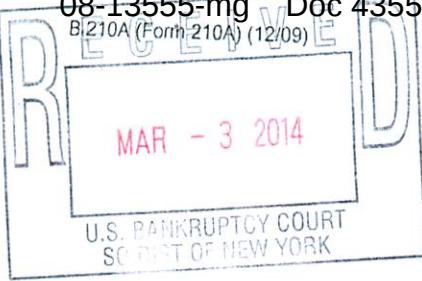
Neue depotführende Stelle
Kressspakkasse Kolin BLZ 37050299

meinen Namen geführte Wertpapiredepot:
Ich wünsche den Übertrag der oben genannten Lehman-Wertpapiere von meinem Depot bei der TARGOBANK in das folgende, auf

10	9	8	7	6	5	4	3	2	1
WKN/SIN	AOJX2F	Emittentin	Lehman Brothers Treasury Co. B.V.	6	Sperrumrnr	Verfahrensnummer			
Depotnummer bei der TARGOBANK	010850321200								
Nationalität	deutsch								
PLZ	20260	Ort	CHONBURI / THAILAND						
Strasse/Nr.	P.O. BOX 185	Postfach	PATTAYA						
Vorname des Depositinhabers	WINFRIED								
Anrede	<input type="radio"/> Frau <input checked="" type="radio"/> Herr								

Antrag auf Depositibetrag meiner Lehman-Wertpapiere

TARGOBANK



United States Bankruptcy Court

POSTEINGANG

27. Jan. 2014

In re Lehman Brothers Holdings Inc. et al.

Case No. Chapter 11 08-13555 (JMP) (Jointly Administered)

Transfer of claim other than for security

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U. S. C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

MR. WINFRIED BACH

Name of Transferee

Name and Address where notices to Transferee should be sent:

MR. WINFRIED BACH

P.O. BOX 185 PATTAYA POST OFFICE
20260 CHONBURI, THAILAND

Phone: _____

Last Four Digits of Acct #: _____

Name and Address where notices to Transferee should be sent:
(if different from above):

Phone: _____

Last Four Digits of Acct #: _____

TARGOBANK AG & Co. KGaA

Name of Transferor

Court Claim # (if known): 55404

Amount of Claim: 8,520.60

Date Claim Filed: 29 October 2009

Phone: +49 (0) 203 347 5703

Last Four Digits of Acct #: _____

Poststelle Stapeltor

27. JAN. 2014

Eingegangen 7

By X

Winfried Bach
Transferee/Transferee's Agent

Date 16. 1. 2014

Transferred Claim

\$ 8,520.60 of \$ 430,459,027.23 (the outstanding amount of the Proof of Claim as of

06. Januray 2014

date of Agreement and Evidence of Transfer) together with accrued and unpaid interest.

Lehman Programs Securities to which Transfer Relates

Pg 6 of 7

Deutsche Post



Brief / Lettre / Letter - Einschreiben / Recommandé / Registered

Paket / Colis / Parcel (nur Vertragskunden)

Recommandé
Avis de réception

RT 59 601 445 8DE



Empfänger der Sendung / Destinataire de l'envoi / Addressee

United States Bankruptcy Court

RÜCKSCHEIN
Avis de réception
Advice of delivery

Bitte diesen
Aufkleber auf
der Vorderseite
der Sendung
anbringen.

Am Bestimmungsort auszufüllen /
A remplir par le bureau de destination /
To complete by office of destination

O.g. Sendung wurde ordnungsgemäß ausgeliefert /
L'envoi mentionné ci-dessus a été dûment livré /
The article mentioned above was duly delivered

* Dieser Rückschein kann vom Empfänger oder wenn die Vorschriften des Bestimmungslandes dies vorsehen, von einem Beauftragten oder Mitarbeiter des Postunternehmens im Bestimmungsland unterschrieben werden.

* Cet avis pourra être signé par le destinataire ou, si les règlements du pays de destination le prévoient, par une autre personne autorisée au par l'agent du bureau de destination.

* This receipt must be signed by the addressee or a person authorized to sign under the regulations of the country of destination or if those regulations so provide, by the employee of the office of destination.

Datum und Unterschrift* / Date et signature* / date and signature*

← Name in Großbuchstaben (oder andere eindeutige Identifikation)
Nom du destinataire en lettres majuscules (ou autre moyen clair d'identification)
Name of recipient in capital letters (or other clear identification)



Deutsche Post



21.02.14

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5.45 EUR

Recommandé
Avis de réception

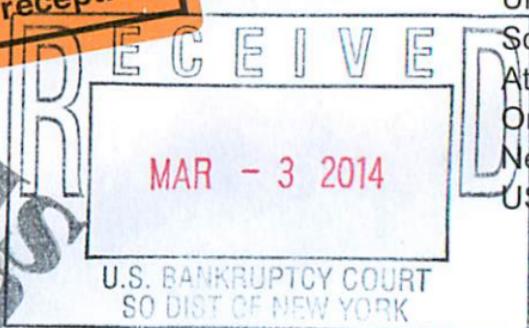
RT 59 601 445 8DE

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PRIORITY
PRIORITAIRE / LUFTPOST

Rückschein
Avis de réception



United States Bankruptcy Court
Southern District of New York
Attention: Clerk's Office
One Bowling Green
New York, NY 10004-1408
USA